

**CUSTODIAL ACCOUNT  
TRANSFER OF OWNERSHIP FORM**



Use this form to terminate the custodianship of a UTMA/UGMA, Minor IRA, or Minor Roth IRA when the minor has reached the age of majority under appropriate state law. A copy of the minor's birth certificate may be required if the birthdate provided on this form does not match the birthdate on file. This form is not to be used for Coverdell Education Savings Accounts.

**CURRENT ACCOUNT INFORMATION**

Account Number: \_\_\_\_\_ Custodian's Name: \_\_\_\_\_  
Former Minor's Name: \_\_\_\_\_ Birthdate (mm/dd/yyyy)(required): \_\_\_\_\_  
Address: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_  
Day Telephone: ( ) \_\_\_\_\_ Evening Telephone: ( ) \_\_\_\_\_ Cell Telephone: ( ) \_\_\_\_\_  
Email address: \_\_\_\_\_

**INSTRUCTIONS – Complete Sections A & B**

A. \_\_\_\_\_ has reached the age of majority for the State of \_\_\_\_\_

B. Type of Transfer (check the appropriate option below)

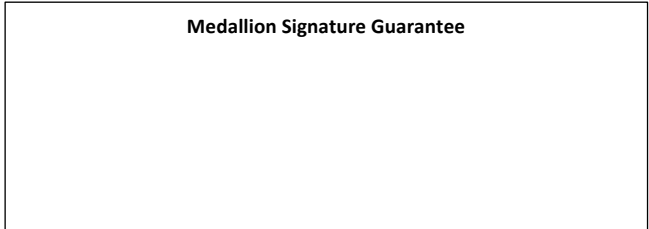
- Transfer an UGMA/UTMA into an Individual account in the former minor's name only. Attached is a new account application.
- Transfer an UGMA/UTMA into the account type indicated below. **A Medallion Signature Guarantee (MSG) Stamp will be required for the Former Minor's signature on this form.** Attached is a new account application
  - Joint Account
  - Trust Account
  - Corporation/Other Entity
- Transfer a Minor IRA or Minor Roth IRA to the same type of IRA in the Former Minor's name. Attached is a new Firsthand Funds Traditional or Roth IRA application.

**AUTHORIZATION**

By signing below, I authorize Firsthand Funds, BNY Mellon Investment Servicing (US) Inc., or any successor transfer agent or sub-transfer agent (the "Transfer Agent"), or its affiliates, to act on the instructions reasonably believed to be genuine for the instructions described on this form.

Former Minor Signature: \_\_\_\_\_ Date (mm/dd/yyyy): \_\_\_\_\_

Medallion Signature Guarantee Stamp and Signature (If Required): An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.



Mail to the following:

**First Class Mail:**

Firsthand Funds  
P.O. Box 9836  
Providence, RI 02940-8036

**Overnight Mail:**

Firsthand Funds  
4400 Computer Dr.  
Westborough, MA 01581-1722  
1-888-884-2675