

FIRSTHAND FUNDS TRANSFER ON DEATH BENEFICIARY FORM



Use this form to make changes to designate beneficiaries on your Firsthand Funds account. The changes indicated will not be made if the form is not properly signed in section 4. **NOTE: This form is NOT for use with IRA accounts.**

1. FUND SELECTION

Fund Name:	Account Number:
Fund Name:	Account Number:
Fund Name:	Account Number:

2. SHAREHOLDER INFORMATION

Name:	Daytime Telephone: ()	
Address:		
City:	State:	Zip Code:
Marital Status:	<input type="checkbox"/> Not Married	<input type="checkbox"/> Married

Spouse's name, if married. On individual accounts where the spouse is not the beneficiary, the spouse MUST complete the consent section in part 4 of this form.

3. BENEFICIARY INFORMATION*

Primary Contingent

Name:	Social Security Number:		
Date of Birth:	Relationship:	Share Percentage:	%
Address:		Daytime Telephone: ()	
City:	State:	Zip Code:	

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Address:		Daytime Telephone: ()	
City:	State:	Zip Code:	

*Note: The information requested in this section must be received for each beneficiary. The total beneficiary percentage designation must equal 100%.

4. SHAREHOLDER AUTHORIZATION

Medallion Signature Guaranteed instructions must be received from the owner(s) to change or revoke a beneficiary designation. Until the owner(s) dies, the beneficiary has no rights in the shares and no instructions can be accepted from, other account information provided to, such beneficiaries.

The Transfer on Death ("TOD") registration is not valid for all fifty states; therefore, when an account owner(s) relocates to another state, the TOD registration may become invalid. Such accounts would be re-registered with either an individual or joint status; the TOD designation would be removed.

Upon the death of the sole or last joint owner, the shares become property of the TOD beneficiary. The beneficiary must survive the owner to be entitled to the shares; if the beneficiary does not survive the owner(s), the beneficiary's estate would not be entitled to the shares, and the shares would then become property of the deceased owner's estate. If the beneficiary survives the owners, but is not alive when the transfer request is received, the shares become property of the beneficiary's estate.

To transfer shares to the beneficiary, the following must be received in good order: a certified death certificate for owner(s), signature guaranteed instruction form from all beneficiaries, and completed new account application by each beneficiary. If the beneficiary is a minor or incompetent, a parent, guardian, or conservator must submit an affidavit attesting that the beneficiary survived the owner. The person(s) supplying the affidavit must indicate their relationship to the beneficiary, and the affidavit must be signature guaranteed. Further instructions, such as court documents, may be needed to complete the change of ownership.

Before signing, carefully review the above information for accuracy and the TOD rules described above, as they will be binding upon your heirs, representatives, and assigns. It is recommended that you seek the advice of an attorney with respect to the legal consequences of signing this form.

Signature of Shareholder: _____ **Date:** _____

Signature of Joint Shareholder: _____ **Date:** _____

Signature of Spouse (if required)* _____ **Date:** _____

*Spouse must sign if NOT named as beneficiary on first page of this form. Neither the Custodian nor Firsthand Funds is liable for any consequences resulting from failure to provide proper spousal consent.

Medallion Signature Guarantee Stamp and Signature (If required by your current custodian or transfer agent): An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

Medallion Signature Guarantee (Shareholder)
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Medallion Signature Guarantee (Joint Shareholder)
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Medallion Signature Guarantee (Spouse)

Mail to the following:

First Class Mail: Firsthand Funds P.O. Box 534444 Pittsburgh, PA 15253-4444	Overnight Mail: Firsthand Funds Attention: 534444 500 Ross Street, 154-0520 Pittsburgh, PA 15262	Customer Service: 1-888-884-2675
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Must be preceded or accompanied by a prospectus. Please read the prospectus carefully before you invest.